[PLEASE USE DISTRIBUTOR LETTERHEAD]

# Distributor Notice of Significant Dealing

[insert date]

Cromwell Funds Management Limited
Attention: Compliance Officer
DDO@cromwell.com.au

Dear Cromwell

**Notice of significant dealing — [insert fund name and ARSN]**

[**Insert distributor name, ACN and AFSL no**.], distributor of the [**insert fund name and ARSN**] (**Product**)], advises of the following significant dealing with respect to the Product.

|  |  |
| --- | --- |
| Date(s) of the significant dealing | *Include both:** *date the distributor became aware of the significant dealing*
* *date that the significant dealing occurred, or date range during which dealings occurred that taken together are significant*
 |
| Significant dealing description  | *Describe the significant dealing, including why it is not consistent with the target market determination.* |
| Why the dealing is significant | *Describe why the distributor considered the dealing to be a significant dealing.* |
| How was the significant dealing identified | *Describe how the distributor identified the significant dealing.* |
| What distributor steps | *What steps, if any, have been, or will be, taken in relation to the significant dealing.* |
| Commentary | *Further commentary if required.* |